

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Not for submission under 37 CFR 1.99)	Application Number		10806028
	Filing Date		2003-06-25
	First Named Inventor	J.C. Chong	
	Art Unit	2144	
	Examiner Name	William G. Vaughn, Jr. T. Nguyen	
Attorney Docket Number		SVL820040552US1	

U.S. PATENTS <span>Remove</span>						
Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
ON	1	6446028		2002-09-03	Wang	
If you wish to add additional U.S. Patent citation information please click the Add button. <span>Add</span>						
U.S. PATENT APPLICATION PUBLICATIONS <span>Remove</span>						
Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
ON	1	20020120727		2002-08-29	Curley et al.	
ON	2	20030014464		2003-01-16	Deverill et al.	
ON	3	20040226013		2004-11-11	Marlotti et al.	
ON	4	20040054984		2004-03-18	Chong et al.	
ON	5	20050165755		2005-07-28	Chan	
If you wish to add additional U.S. Published Application citation information please click the Add button. <span>Add</span>						
FOREIGN PATENT DOCUMENTS <span>Remove</span>						

BEST AVAILABLE COPY

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
(Not for submission under 37 CFR 1.99)

Application Number	10606028
Filing Date	2003-06-25
First Named Inventor	J.C. Chong
Art Unit	2142 2144
Examiner Name	William C. Vaughan, Jr. T. Nguyen
Attorney Docket Number	SVL820040662US1

Examiner Initial*	Cite No	Foreign Document Number <sup>2</sup>	Country Code <sup>2</sup>	Kind Code <sup>4</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	To
	1							<input type="checkbox"/>

If you wish to add additional Foreign Patent Document citation information please click the Add button

**NON-PATENT LITERATURE DOCUMENTS**

Examiner Initial*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published.	To
ON	1	PCT Notification of Transmittal of the International Search Report and Written Opinion of the International Searching Authority, or the Declaration mailed Jun 07 2005, PCT/US04/26597, filed Aug 18 2004	<input type="checkbox"/>
ON	2	Response to Written Opinion and Request for Correction of Description and Drawings dated April 22 2005, for application PCT/US04/26597, filed Aug 18 2004	<input type="checkbox"/>
ON	3	PCT Notification of International Search Report or the Declaration, dated Oct 23, 2003, for application PCT/US03/10459, filed April 8 2003	<input type="checkbox"/>

If you wish to add additional non-patent literature document citation information please click the Add button

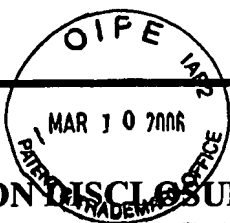
**EXAMINER SIGNATURE**

Examiner Signature		Date Considered
--------------------	--	-----------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> See Kind Codes of USPTO Patent Documents at [www.USPTO.GOV](http://www.USPTO.GOV) or MPEP 601.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.

BEST AVAILABLE COPY




Form 1449/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (use as many sheets as necessary)			<i>Complete if Known</i>		
			Application Number	10/606,028	
			Filing Date	June 25, 2003	
			First Named Inventor	J.C. Chong et al.	
			Art Unit	<del>2142</del> 2144	
			Examiner Name	William C. Vaughn, Jr. <i>Nguyen</i>	
			Attorney Docket Number	SVL920040552US2	
Page	1	of	1		

U.S. PATENT DOCUMENTS					
Examiner Initials	Cite No.	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number- Kind Code (if known)			
<i>WV</i>		US-6119101	09/12/2000	Peckover	
		US-6321263 B1	11-20-2001	Luzzi et al.	
		US-2002/0042896	04/11/2002	Johnson et al.	
		US-6438573 B1	08/20/2002	Nilsen	
		US-2002/0120663 A1	08/29/2002	Binns	
		US-2003/0004987 A1	01/02/2003	Glanzer et al.	
<i>WV</i>		US-2003/0065764	04/03/2003	Capers et al.	
		US-			
		US-			
		US-			
		US-			
		US-			

FOREIGN PATENT DOCUMENTS						
Examiner Initials	Cite No.	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
		Country Code- Number- Kind Code				
<i>WV</i>		WO 03/087982 A2	10-23-2003	Cynea Systems Corp.		

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T
<i>WV</i>		International Search Report dated 10-23-2003 for application WO 03/087982 A3 published 10-23-2003	
<i>WV</i>		Supplementary European Search Report dated 1-11-2006 for application EP 03742215	
<i>WV</i>		M.A. Bauer, et al., "Reference Architecture for Distributed Systems Management", IBM Systems Journal, Vol. 33, No. 3, 1994, pp. 426-444	

Examiner Signature		Date Considered	5/13/07
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.			

Form 1449/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (use as many sheets as necessary)		<b>Complete if Known</b> Application Number: 10/606,028 Filing Date: June 25, 2003 First Named Inventor: J.C. Chong et al. Art Unit: <del>2154</del> 2144 Examiner Name: <del>Not Yet Assigned</del> T. Nguyen Attorney Docket Number: SVL920040552US2	
Page	1	of	1

U.S. PATENT DOCUMENTS					
Examiner Initials	Cite No.	Document Number Number- Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
DN		US-6,633,908	10-14-2003	Leymann et al.	
DN		US-6,658,471	12-02-2003	Berry et al.	
DN		US-6,701,363	02-03-2004	Chiu et al.	
		US-			
		US-			

FOREIGN PATENT DOCUMENTS						
Examiner Initials	Cite No.	Foreign Patent Document Country Code- Number- Kind Code	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T
DN		PCT International Preliminary Examination Report, February 17, 2005, for International Application No. PCT/US03/20109	
DN		PCT International Search Report, November 24, 2004, for International Application No. PCT/US03/20109	

Examiner Signature		Date Considered	5/13/02
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.			